

08-06-01

A

08/03/01
J1002 U.S. PTO

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B98-031-6

First Named Inventor or Application Identifier Goodman et al.

Title Modulating Robo: Ligand Interactions

Express Mail Label No. EL071089876US

EL071089876US

J1002 U.S. PTO
09/922600
08/03/01

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 58)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets)
5. X Oath or Declaration (Total Pages 2)
 - a. Newly Executed (Original or Copy)
 - b. X Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. X Nucleotide and/or Amino Acid Sequence Submission

09922600-080301

(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
b. ☒ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☒ paper
c. ☒ Statement verifying identity of above copies
d. ☒ Request to use CRF from another application

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))
10. ☒ 37 CFR 3.73(b) Statement (where there is an assignee)
☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: _____

17. Priority

This application claims priority to prior application No: 09/540,245

Prior application information: Examiner McKelvey, T. Group Art Unit 1636

The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address

☒ Customer Number or Bar Code Label



(Insert Customer No. or Attach Bar Code Label here)

or

PATENT TRADEMARK OFFICE

☒ Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman

Registration No: 36,627

Signature: 

Date: August 2, 2001

United States Patent & Trademark Office
Office of Initial Patent Examination

Application papers not suitable for publication

SN 09922600

Mail Date 08/03/01

☐ Non-English Specification

☒ Specification contains drawing(s) on page(s) _____ or table(s) ✓

☐ Landscape orientation of text ☐ Specification ☐ Claims ☐ Abstract

☐ Handwritten ☐ Specification ☐ Claims ☐ Abstract

☐ More than one column ☐ Specification ☐ Claims ☐ Abstract

☐ Improper line spacing ☐ Specification ☐ Claims ☐ Abstract

☐ Claims not on separate page(s)

☐ Abstract not on separate page(s)

☐ Improper paper size -- Must be either A4 (21 cm x 29.7 cm) or 8-1/2" x 11"

☐ Specification page(s) _____

☐ Abstract

☐ Drawing page(s) _____

☐ Claim(s)

☐ Improper margins

☐ Specification page(s) _____

☐ Abstract

☐ Drawing page(s) _____

☐ Claim(s)

☐ Not reproducible

Section

Reason

☐ Specification page(s) _____

☐ Paper too thin

☐ Drawing page(s) _____

☐ Glossy pages

☐ Abstract

☐ Non-white background

☐ Claim(s)

☐ Drawing objection(s)

☐ Missing lead lines, drawing(s) _____

☐ Line quality is too light, drawing(s) _____

☐ More than 1 drawing and not numbered correctly

☐ Non-English text, drawing(s) _____

☐ Excessive text, drawing(s) _____

☐ Photographs capable of illustration, drawing(s) _____

09922600-080301